

#5

Attorney Docket No.: B01.003
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: KEIL et al.

Serial No.: not yet assigned

Filing Date: herewith

For: PREFERENCE INFORMATION-BASED
METRICS

Examiner: not yet assigned

Group Art Unit: Not yet assigned

Attorney Docket No.: B01.003

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

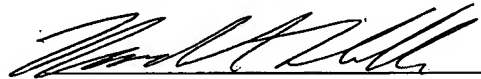
This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed prior to the mailing of the first Office Action on the merits. If the Examiner has

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed prior to the mailing of the first Office Action on the merits. If the Examiner has any questions regarding this application or suggestions for expediting prosecution, the Examiner is respectfully requested to contact Applicants' attorney using the information set forth below.

Respectfully submitted,

November 5, 2001
Date


Nandu A. Talwalkar
Registration No. 41,339
Buckley, Maschoff, Talwalkar, & Allison LLC
111 Elm Street
New Canaan, CT 06840
(203) 972-0049

Substitute for form 1449A/PTO				<div> <div>Complete if Known</div> <table border="1"> <tr> <td>Application Number</td> <td>not yet assigned</td> </tr> <tr> <td>Filing Date</td> <td>herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>KEIL et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>not yet assigned</td> </tr> <tr> <td>Examiner Name</td> <td>not yet assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>B01.003</td> </tr> </table> </div>		Application Number	not yet assigned	Filing Date	herewith	First Named Inventor	KEIL et al.	Group Art Unit	not yet assigned	Examiner Name	not yet assigned	Attorney Docket Number	B01.003
Application Number	not yet assigned																
Filing Date	herewith																
First Named Inventor	KEIL et al.																
Group Art Unit	not yet assigned																
Examiner Name	not yet assigned																
Attorney Docket Number	B01.003																
<div> <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div> </div>				<div> <div>1c781 U.S. PTO</div> <div>10/008995</div> </div>													
Sheet	1	of	6														

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not yet assigned
				Filing Date	herewith
				First Named Inventor	KEIL et al.
				Group Art Unit	Not yet assigned
				Examiner Name	
Sheet	2	of	6	Attorney Docket Number	B01.003

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	D	"The ACA/HB Module for Hierarchical Bayes Estimation", <i>Sawtooth Software, Inc.</i> (August, 1999)	
	E	Magidson, Jay, and Vermunt, Jeroen K., "Latent Class Models"	
	F	"The CBC Latent Class Technical Paper", <i>Copyright 2000, Sawtooth Software, Inc.</i>	
	G	Acatech, "Adaptive Conjoint Analysis" (Version 4), <i>Copyright Sawtooth Software, Inc. (April 1993)</i>	
	H	McCullough, Dick, "Trade-off Analysis": <i>A Survey of Commercially Available Techniques</i>	
	I	Srinivasan, V. and Su Park, Chan "Surprising Robustness of the Self-Explicated Approach to Customer Preference Structure Measurement," <i>Journal of Marketing Research</i> , Vol. XXXIV (May 1997), 286-291	
	J	Wittink, Dick R. and Keil, Sev K. "Continuous Conjoint Analysis"	
	K	Huber, Joel, "What We Have Learned from 20 Years of Conjoint Research": <i>When to use Self-Explicated, Graded Pairs, Full Profiles or Choice Experiments</i>	
	L	2001 Conference Presentations Set (Spring 2001), <i>Sawtooth Solutions</i>	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	Not yet assigned
				Filing Date	Herewith
				First Named Inventor	KEIL et al.
				Group Art Unit	Not yet assigned
				Examiner Name	
Sheet	3	Of	6	Attorney Docket Number	B01.003

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	M	Orme, Bryan "Helping Managers Understand the Value of Conjoint", <i>Copyright, Sawtooth Software, 1996</i>	
	N	Pinnell, Jon and Olsen, Pam "Using Choice-Based Conjoint To Assess Brand Strength and Price Sensitivity", <i>Copyright 1996, Sawtooth Software</i>	
	O	Pinnell, Jon (1994) "Multistage Conjoint Methods to Measure Price Sensitivity"	
	P	Dawson, Neal V. (91-94) "A Model of Patients' Preferences in Serious Illness", http://www.ahcpr.gov/clinic/medteprp/list8.htm , (Download date 3/30/01)	
	Q	Marketswitch: "Our Industry", http://www.marketswitch.com/products/industry.html , (Download date 3/26/01)	
	R	Kanninen, Barbara, K. (1/1/00 – 6/30/02) "Optimal Experimental Design for Nonmarket Choice Experiments", http://www.es.epa.gov/ncercqa_abstracts/grants/99/deci/kanninen.html , (Download dated 4/11/01)	
	S	Rollins, Kimberly and Beckett, Alexandra, "Using a Random Utility Model to Measure Willingness to Pay for Public Attributes of Green Goods: Implications for Market Provision of Environmental Quality", <i>International Institute of Fisheries Economics and Trade, Microbehavior and Macroresults IIFET 2000</i> , http://www.orst.edu/Dept/IIFET/2000/abstracts/rollins.html (Download date 4/11/01)	
	T	Ardila, Sergio, Quiroga, Ricardo and Vaughan, William J. (12/98, ENV-126, E) Publications "A Review of the Use of Contingent Valuation Methods in Project Analysis at the Inter-American Development Bank", http://www.iadb.org/sds/publication/publication_178_e.htm (Download date 4/11/01)	
	U	Understanding Market Segmentation, <i>Technical Paper From DDS Research</i> , http://www.dssearch.com/marketsegment/Library/Segment/understanding.asp	

Examiner Signature		Date Considered	
---------------------------	--	------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not yet assigned
				Filing Date	Herewith
				First Named Inventor	KEIL et al.
				Group Art Unit	Not yet assigned
				Examiner Name	
Sheet	4	of	6	Attorney Docket Number	B01.003

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	V	Tyner, Mary Jane and Weiner, Jonathan – MACRO – “Optimal Pricing Strategies Through Conjoint Analysis”, http://www.macroinc.com/html/art/s_opt.html (Download date 4/10/01)	
	W	“Customer Targeting Models”, <i>Opti-Market Consulting</i> , http://www.opti-market.com/targeting.htm (Download date 4/10/01)	
	X	Johnson, Chad, Methodologies and Capabilities: “Market simulation software becomes smarter all the time”, <i>Answers Research, Inc.</i> , http://www.answersresearch.com/methodologies/article5.html (Download date 4/10/01)	
	Y	Market Research Application Example, “Conjoint Analysis: <i>The Pressure</i> ”, Statistics & Operations Research, http://www.sas.com/rnd/app/da/market/nraexsim.html (Download date 4/10/01)	
	Z	“Research Triangle Institute: Conjoint Analysis Software Tools”, http://www.rti.org/difference/conjoint_tools.cfm (Download date 4/10/01)	
	AA	Decision Support Inc., A Full Service Market Research & Consulting Firm, “Services Offered” http://www.decisionsupportinc.com/services.html (Download date 4/10/01)	
	BB	Landsend.com, “My Personal Shopper: Like having a personal wardrobe” http://www.landsend.com/vpsEntry.cgi?mode=GRAPHIC&refer=c.../&sid=098702323344 (Download date 4/11/01)	
	CC	Direct1.com “About Direct1” http://www.direct1.com/company/whatdowedo.html (Download date 4/3/01)	
	DD	Discretechoice.com “White Papers: Sample Discrete Choice Analysis”, http://www.discretechoice.com/analysis.html (Download date 1/2/01)	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not yet assigned
				Filing Date	Herewith
				First Named Inventor	KEIL et al.
				Group Art Unit	Not yet assigned
				Examiner Name	
Sheet	5	of	6	Attorney Docket Number	B01.003

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	EE	Minetheme Knowledge Bank "Information: that Inspires", http://www.mindtheme.com/knowledge/trst.asp (Download date 4/10/01)	
	FF	Dominator 2000™ Market Simulation Model From DSS Research, "Dominator 2000™" http://www.dssresearch.com/library/Dominator/dominator.asp (Download date 4/10/01)	
	GG	Conjoint Analysis Tools, http://www.whitehorse.com.au/~prosoft/statist.htm (Download date 2/14/01)	
	HH	Johnson, Richard. M., Sawtooth Technologies: "Trade-Off Analysis of Consumer Values" reprinted from <i>Journal of Marketing Research</i> , published by the American Marketing Association, Vol. 11 (May 1974), pp. 121-127, http://www.sawtooth.com/news/library/articles/johnson.htm (Download date 2/14/01)	
	II	McCullough, Dick "The Cake Method: A Proprietary Hybrid Conjoint Approach", Macro Consulting, Inc., http://www.macroinc.com/html/art/s_cak.html (Download date 12/6/00)	
	LL	ACA, "Adaptive Conjoint Analysis", http://www.sawtoothsoftware.com/aca.shtml (Download date 2/14/01)	
	MM	"Analysis: Conjoint Case Example From DSS Research – Marketing Research For...", http://www.dssresearch.com/conjoint/CaseExample/Analysis.asp (Download date 4/2/01)	
	NN	A Review of Conjoint Analysis, Technical Paper from DSS Research: "Understanding Conjoint Analysis", http://www.dssresearch.com/conjoint/Library/Conjoint/conjoint.asp (Download date 4/2/01)	
	OO	Novak, Tom "Online Exercises: Design Your Own Movie Theater Using Conjoint Analysis", Interactive Exercises, http://www2000.ogsm.vanderbilt.edu/novak/conjoint-Movies/ (Download date 4/11/01)	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	Not yet assigned
				Filing Date	Herewith
				First Named Inventor	KEIL et al.
				Group Art Unit	Not yet assigned
				Examiner Name	
Sheet	6	of	6	Attorney Docket Number	B01.003

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	PP	Mangen Research Associates, Inc., (1999) "Introduction to Conjoint Analysis", http://www.mrainc.com/conj_intro.html (Download date 2/14/01)	
	QQ	Mangen Research Associates, Inc. (1999) "Correlation of Estimates – Conjoint Analysis", http://www.mrainc.com/estimate_conj.html (Download date 1/2/01)	
	RR	Online Insight – Thanks for your time, file:///D:/StartHere.html (Download date 12/18/00)	
	SS	eBranding Study: Oneline Insight & jAccenture – "What is Conjoint?", http://www.onlineinsight.com/ebranding/conjoint.html (Download date 4/27/01)	
	TT	Paul, Lauren, "1 to 1 Marketer", publish 3/1/01, http://www.1to1.com/Building/CustomerRelationships/entry.jsp?REQUESTED_URL (Download date 5/31/01)	

Examiner Signature		Date Considered	
---------------------------	--	------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.